

## Review Article

# Autism spectrum disorders (ASD) diagnosis and management; current trends and future direction: a review article

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**Abstract:** Autism Spectrum Disorder (ASD) is a commonly seen neurodevelopmental disorder in children. The characterization of ASD by age is inappropriate, with the presence of stereotypic behaviour and impaired social communication. This disorder is hypothesized to result from cerebral dysfunction arising from a complex interaction among environmental, genetic, and epigenetic factors. Worldwide, ASD prevalence has reached more than 1%, leading governments, schools, and health care providers to establish strategies and programmes to resolve this complicated disorder. A high level of concern must be maintained in children with poor school performance, problem behaviours, speech issues, or developmental delay. An evaluation of a suspected ASD child is not difficult if a standardized approach is used. Early intervention leads to marked improvements in social communication skills, imitation, and cognitive and adaptive function. This current review analysed the epidemiology, causes, social-economic impacts and effective tools available in diagnosing and managing ASD. This study also provides the future direction for the diagnosis and management of ASD.

**Keywords:** Autism Spectrum Disorder (ASD), behaviour, diagnosis, management, speech or developmental delay

## Introduction

ASD is a neurodevelopmental disorder characterized by communication impairment and social and repetitive or restricted behaviours [1]. ASD starts from childhood and continues to remain in adolescence and adulthood. The conditions are evident in most situations within the first five years of life. Several comorbidities are often present in ASD individuals, including depression, anxiety, attention deficit hyperactivity disorder (ADHD) and epilepsy. In persons with ASDs, the intellectual level functioning is highly variable, ranging from severe disability to higher stages [2]. ASD affects one in every 700 to 1000 people, and one in every 1000 people has classic autism symptoms. At a global level, 3 to 4 boys per each girl are affected by ASD. It includes five clinical groups under the autism spectrum disorder umbrella, including Asperger syndrome, autistic disorder, pervasive developmental disorder, Rett syndrome, and childhood disintegrative disorder; it affects emotional,

cognitive, and social skills. Specific autism etiologies and neural bases remain largely unknown; it has been suggested that modifications in multiple genes combined with environmental factors constitutes the cause for the autism phenotype development [3, 4]. About 5 million Americans are affected by ASD, with an approximate incidence in children, around 1.7% [5]. Its manifestations are primarily behavioural with variable severity and cognitive function, characterized by early disruption in social interaction and communication, restrictive, repeated loss of interest in various activities and stereotyped behaviour patterns. It is most often accompanied by sensory processing disorders, impairment of adaptive function, self-injury or aggression. The central main symptoms are usually diagnosed clinically based on the parameters defined by the Diagnostic and Statistical Manual of Mental Disorders, 5th Edition (DSM-5). Early and accurate diagnosis is important in ensuring children are offered the optimum support and advice, enabling them to

achieve the best quality of life [6]. This current review aims to understand what the current ASD diagnosis and management entails and the future direction of diagnosis and management of ASD.

### Epidemiology

It is estimated that worldwide one in 160 children has an ASD [2]. The Centers for Disease Control and Prevention (CDC) estimates about 1.68% of United States (US) children at the age of 8 years are diagnosed with ASD [5, 7]. The worldwide prevalence of ASD is at 0.76 per cent, as estimated by the World Health Organization (WHO), which represents only about 16 per cent of the global child population [8]. The prevalence of ASD in the US more than doubled between 2000-2002 and 2010-2012 according to estimates from the Autism and Developmental Disabilities Monitoring Network (ADDM) [5]. Although reflecting on trends in the US may be too early, the prevalence of ASD appeared to stabilize between 2014 and 2016 without a statistically significant increase [7]. ASD is found in all socioeconomic, racial, and ethnic groups, but its diagnosis is far from universal. Caucasian children with ASD are identified more frequently than Hispanic or black children [5]. Some genetic diagnoses have a higher incidence of comorbidities with ASD relative to the general population, including Rett syndrome, Down syndrome, tuberous sclerosis, fragile X, among others; nevertheless, these identified genetic conditions are reported for a relatively limited number of total cases of ASD [4, 9, 10]. Studies on sex chromosome aneuploidy children have identified a particular profile of male social functioning that indicates a greater susceptibility to autism [11-14]. Several chromosome sites, particularly chromosome X, 2, 3, 7, 15, 16, 17, and 22 are correlated with an elevated risk of ASD due to increased chromosomal microarray use [15]. Prematurity and increased parental age are the other risk factors for ASD [16] because they have a higher likelihood of conveying changes with older gametes, bringing about extra obstetrical complications, including prematurity [17].

### Causes

The neurobiological disorder of ASD is affected by both environmental and genetic factors. Research has continued to expand our under-

standing of possible etiological pathways within ASD, but there is currently no strong trigger. The epidemiological data suggest that there is no evidence of a causal association between ASD, rubella vaccine, mumps, and measles. Previous research indicates that a causal relationship was riddled with methodological flaws [20, 21]. Also, there is no clear evidence found that the childhood vaccine can enhance ASD risk. Evidence reports of the potential association of containing aluminium adjuvants and preservative thiomersal in inactivated vaccines and the ASD risk potentially concluded that vaccines might not raise the ASDs risk [2]. Studies that are related to neuropathological factors are limited but have shown variations in limbic system defects, cerebellar architecture and connectivity, with cortical changes in the frontal and temporal lobe, along with other precise abnormalities [9, 20, 21].

A small exploratory analysis of young children's neocortical architecture showed a cortical laminar architecture with focal disruption in most subjects, indicating problems with the neuronal differentiation and formation of cortical layers [22]. In children with ASD, brain overgrowth has been identified both in terms of increased extra-axial fluid and cortical size. These are ongoing research areas both in terms of possible biomarkers and their etiology [23, 24]. Genetic factors play a role in ASD susceptibility, with siblings of patients with ASD carrying an increased risk of diagnosis compared to the general population [25-27]. Whole-exome sequencing methods and studies related to genome-wide associations have expanded our ASD susceptibility gene understanding, and learning about the related to the genome-wide association has expanded our ASD susceptibility gene understanding, and learning more about these genes functions will shed light on possible biological mechanisms [28]. For instance, ASD candidate genes include those who play a significant role in neuronal excitability, brain development or neurotransmitter function [29, 30]. Most ASD-related genetic defects encode proteins involved in activity-dependent neuronal changes or those specific to the neuronal synapse, including regulatory proteins such as transcription factors [25, 31].

It has been shown that those with advanced paternal and maternal age are at enhanced risk of having an ASD child [32]. Maternal history of

autoimmune disorders has been postulated, such as psoriasis, thyroid disease or diabetes but the study findings remain mixed [33, 34]. According to recent research, another field of concern is immune activation during pregnancy or maternal infection and could be a possible risk factor [35-37]. There were also records of both longer and shorter inter-pregnancy periods raising the risk of ASD [38]. Children born prematurely were found to have an elevated ASD risk in addition to other disorders related to neurodevelopment [38]. In an earlier epidemiological study, low birth weight, preterm delivery, low Apgar scores, caesarian delivery and uterine bleeding were identified as a few factors more consistently correlated with autism [39]. A recent meta-analysis identified the association of several risk factors including pre-, peri- and postnatal periods with ASD and the results showed that during the prenatal period, the factors including maternal and paternal age  $\geq 35$  years, mother's and father's race, antepartum hemorrhage, threatened abortion, gestational diabetes and gestational hypertension were significantly associated with the risk of autism. During the perinatal period, the factors associated with autism risk were fetal distress, preeclampsia, breech presentation, no labor, induced labor, spontaneous labor, parity  $\geq 4$ , gestational age  $\leq 36$  weeks and caesarian delivery. During the postnatal period, the factors associated with autism risk were brain anomaly, male gender, postpartum hemorrhage and low birth weight [40]. However, to identify the factors that are associated with ASD risk, the research is continuously going, but no solid determinations have been made [41].

### Social and economic impacts

ASDs can substantially restrict an individual's capacity to participate in society and carry out routine activities. ASDs also have negative social consequences and educational challenges for the person, as well as employment issues. ASDs threaten people with these conditions and their families with substantial economic and emotional pressure. Caring for children with severe ASD conditions can be demanding, particularly regarding parental support and where access to services is inadequate. Consequently, the participation of caretakers is widely recognized as a vital component of caring for ASD children. Although some people

with ASD can live independently, some have serious disabilities and need support and life-long treatment [2].

### Diagnosis

ASD can be diagnosed accurately in children from two years of age with early intervention helping these patients. The average diagnostic age, however, is between 3 and 6 years. It was thought that one of the reasons for this delay is the immense difficulty in diagnosing these disorders at an early age. A variety of factors contribute to this: a) language delays and social deficits that cannot be detected until the child starts to relate to pre-school peers, and b) the appearance of symptoms is very complex and varies in age [42, 43]. The detection and diagnosis of ASD, by the American Academy of Neurology (AAN) emphasize the need for a double approach (**Figure 1**). To discover any changes in the children's neurodevelopment for strict monitoring or deviations from typical, it is significant that specialists stay alert from the child's birth, which is the first stage. To identify the child's clinical characteristics is the second level diagnosis of ASD and then perform three assessment phases [44].

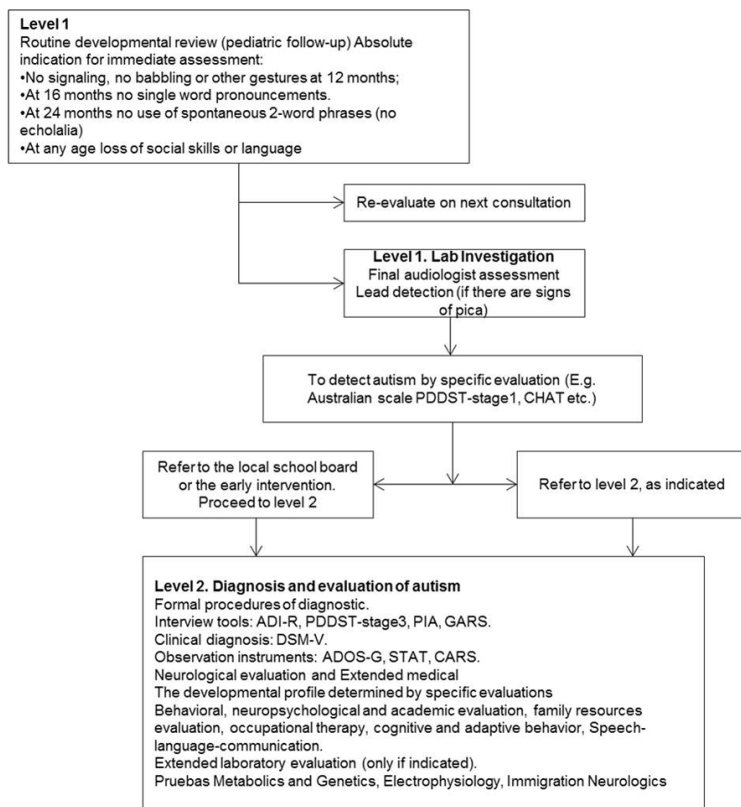
First phase, possible cases identification: The goal is "to check the parents observations" or "to identify the manifestations regarding the social interaction and the child's communication and behaviour" [44].

Second phase, global evaluation: Attempts to observe the significance and the consistency of the child's behavioural and neurological manifestations to validate the findings from the physicians who referred the child to the specialist or parents' concerns [44].

Third phase, specific diagnosis: sets out the definitive ASD diagnosis and describes its type. To this end, the knowledge provided by the specialists and the parents who have seen the patient are contrasted and the required tests are applied to correlate the evidence with the DSM-5 diagnostic manual criteria [44].

In the diagnosis of ASD, the DSM-5 is regarded as the gold standard and is closely mirrored by the implantable cardioverter-defibrillator (ICD). Similar to the Kanner children first identified, the DSM-5 classifies ASD based on repetitive

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**Figure 1.** Levels of diagnosis for autism.

behaviours, the prevalence of limited social communication with deficits, providing a grade between 1 and 3 for each of these two categories based on severity. A child with milder symptoms and 'requires support' comes under category 1. A child with severe symptoms and 'requires very substantial support' comes under category 3. Often included with a diagnosis are clinical records with any associated language impairments, intellectual impairments, environmental factors, genetic conditions or medical conditions. In subsequent revisions, the ICD and the DSM have extended diagnostic criteria; specifically, the reclassification of pervasive developmental disorders not otherwise specified (PDD-NOS) and Asperger's by the DSM-5 now falls under a single ASD diagnosis [45].

Since autism has no biological diagnostic markers, the judgement is strictly clinical, based on the behavioural manifestations given by the DSM-5 criteria. The manual of DSM-5 states that the important characteristics of ASD disorders are persistent social deterioration and

social interaction, reciprocal communication (criteria A), repetitive activities, interests, or restrictive and behavioural patterns (criteria B). Since early childhood, these symptoms limit or impede daily function (criteria C and D). Also, the disorder's manifestations vary greatly depending on the chronological age, autistic condition severity and development level. Based on the characteristics of the individual and his/her environment, the stage of obvious functional deterioration will vary [46, 47].

### *Differential diagnosis*

*Unidentified hearing impairment:* "Other domains will be normal despite speech delay that is compensated for by gestures".

*Intellectual Disability/Global developmental delay (GDD):* In all fields, the impairment level

will be appropriate and equal for the developmental age.

*Social communication disorder:* Difficulties in social communication result in functional limitations, but there are no repeated behaviours or deviant sensory responses.

*ADHD:* That is the most frequent misdiagnosis. Hyperactivity is only a symptom that is not pathognomic of ADHD. Impulsivity, hyperactivity and inattention are found in ADHD, but it is normal to have social skills, play, communication and intellect [48].

### **Diagnosis role in research**

#### *Heterogeneity of research participants*

The broad criteria for diagnosis of ASD lead to a heterogeneous pool of participants in the research. In her book, Rethinking Autism [49], Lynn Waterhouse proposes abandoning ASD diagnosis DSM-5 for study. Other researchers suggest that the DSM-5 be amended to include more ASD subtypes [50]. Also, without a

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reclassification, SPARK by the Simons Foundation [51], one of the largest ASD research projects to date, narrows participants' pools via a questionnaires series, excluding those who have identified epilepsy, or genetic disorders.

### *Future of diagnostics*

The practice standard for ASD diagnosis is first by submicroscopic copy number variants (CNV) detection and microarray for large, followed by whole-genome sequencing (WGS) or whole-exome sequencing (WES). Several genomic techniques were used to understand the molecular mechanism of ASD, including epigenomics and transcriptomics, and finally inform clinical treatment. Testing of cell-free DNA (cf-DNA) is becoming an important diagnostic method. This form of test or screening is also referred to as the Non-Invasive Prenatal Test (NIPT). Fetal cf DNA in maternal plasma may be tested for potential genetic disorders or associated conditions with single nucleotide polymorphism-based approaches or next-generation sequencing techniques. As previously mentioned, using clinical genomics or genetic testing as an *in vitro* screen method can be a possible way to classify people at risk of autism.

Advances in ASD clinical care continue to rely on collaborative, multidisciplinary research efforts. Current efforts are underway to classify functional subtypes of genetic-ontological methods that may gain clinical intervention in addition. This includes investigating broader gene disruption-associated phenotypes that share molecular properties, such as CHD8 protein-regulated genes [52, 53]. Identifying gene disruptions measurable neurological effects, such as an electrophysiological (EEG) signature, may convert into functional biomarkers of ASD, which are important for clinical trials [60]. In the meantime, the genetic subtype's pharmacotherapies development, including SCN2A, would need further information about the neurodevelopmental disability reversibility and timing of genetic expression. Therefore, continued systematic phenotyping will be important for the success of the clinical trial on genetic ASD subtypes [52].

### *Management of ASD*

The main goals of the management of ASD are to treat co-morbid conditions, reduce maladapt-

ive behavior, promote learning and problem solving, consider educational placement, communication and social skills, build cognitive skills, provide coping assistance to families, and families for youth with ASD who are in adulthood and adolescence (**Figure 2**). A multidisciplinary team consisting of individualized management should be prepared.

1) Clinician (psychiatrist, general practitioner or experienced pediatrician) - if indicated, for holistic assessment and pharmacotherapy.

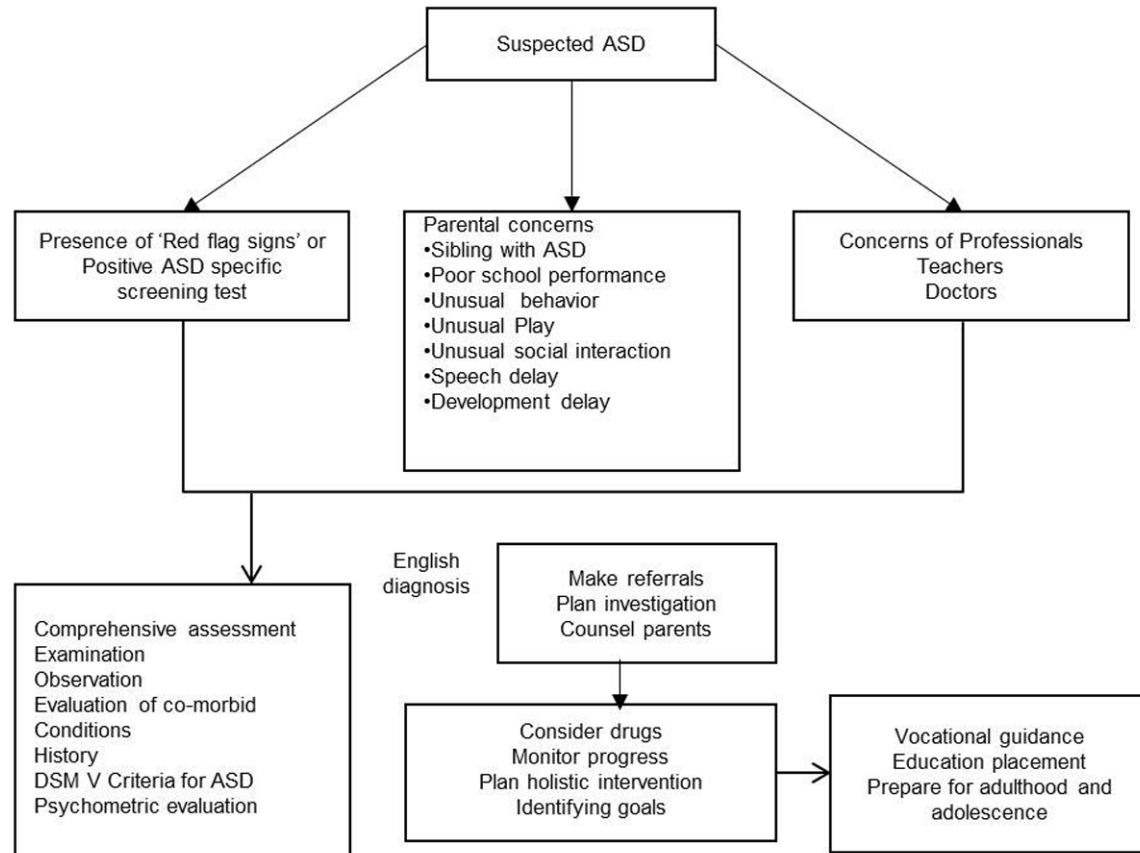
2) Clinical psychologist or developmental pediatrician-for assessment of: i) Core symptoms: These are the Indian Scale for Assessment of Autism and the INCLIN: ASD Consensus Clinical Criteria [54, 55]. Both of these rating scales have yet to be well known in children [56]. Internationally recommended tools are Autism Diagnosis Observation Schedule General (ADOS-G) and Autism Diagnosis Interview-Revised (ADI-R). Due to the lack of specialized training providers and expenses, both are not widely used in India. For the severity assessment, the Childhood Autism Rating Scale (CARS) is widely used. Recently, for diagnostic purposes, two Indian tools have been established, which require further assessment. ii) Cognitive function: Tools that evaluate nonverbal and verbal competencies separately should be used to accurately evaluate cognitive capacity (i.e., Leiter's scale and Mullen's scale). iii) Adaptive function: The Vineland Adaptive Behavior Scale (second edition) rates the quality and frequency of different skills that are actually seen during activities of daily living (ADL). Irrespective of quality, it's better than measures of growth that only calculate whether or not there is ability.

3) Speech-language pathologist-language assessment assists with eating difficulties and offers alternative forms of communication or speech therapy.

4) Pediatric neurologist/geneticist - if warranted.

5) Occupational therapist - Develops ADL-based skills training and offers Sensory Integration (SI) therapy, if necessary (predominant sensory issues). During play activities, SI offers controlled sensory stimuli meant to improve the

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**Figure 2.** Algorithmic approach to management of a child with suspected ASD.

way the child responds to movement, sight, sound and touch.

6) Behavior analyst - for behavior change treatment.

7) Special educator - to devise an Individualized Education Strategy and to decide on placement in education (integrated/inclusive, normal school, or, special).

8) Adolescent and child psychiatrist.

9) Psychiatric social worker - for providing family support.

Unfortunately, there are limited numbers of multidisciplinary centres which offer all these services. For providing high-quality intervention, there is a paucity of experienced and trained personnel. Professionals with experience and qualifications working with ASD children are important. Experts sometimes have to multitask [48].

### *Psychological and behavioural management*

Once an ASD diagnosis is established, deficits are dealt with using a multi-faceted team approach [4]. Play therapies, speech, behavioural and occupational training are regular treatments. For ASD, there are no therapies available in the pharmacological field; however, people receive some medication to overcome comorbidities, including ADHD and seizures. In some areas, children can obtain support services in schools, including intellectual disabilities, learning disorders, etc. [57]. Because of gaps in contact with people living with ASD, family and caregivers often struggle to relate. They are frequently encouraged to seek mutual pleasure with behaviours encouraging teamwork as part of the care. Alongside traditional therapies, families and individuals with ASD may also seek community support. This can include websites or online support groups. One such popular website is Autism Speaks [58], which allows people to connect, as well as re-

ceive moral support and access the latest research.

### *Prognosis*

The prognosis for an individual with ASD is as heterogeneous as the diagnosis. Those on the mild end of the spectrum, known as Aspergers, with an average to high intelligence quotient (IQ), will also enter mainstream schooling, advance into the workplace, and develop social communication skills with therapeutic support. It is not uncommon for individuals at the highest end, many of whom would have previously received a diagnosis of Asperger's, to embrace and capitalize on their atypical neurodevelopment [59, 60]. While an interest in objects, a rigid need for sameness, routine, and detail can stifle social interaction, imagination, and versatility. The same qualities can be extremely useful in a field that needs a limited focus on objects, precision, and strict adherence to routine. The prognosis for those with a serious diagnosis is much less positive. Severe cases may never learn to communicate, and they remain in a world that is withdrawn from peers and family. ASD treatment aims to improve the patient's and others' quality of life. Due to communication difficulties for those with ASD, family and caregivers often fail to connect and are encouraged as part of therapy to find mutual enjoyment in activities that foster bonding [61, 62]. Several factors relate to improved prognoses: psychotherapeutic intervention as early as possible, and for those who score well on intensive, nonverbal intelligence tests, with slight or no mental retardation they will have some communicative language development before the age of six. Cooperation between specialists such as educators, occupational therapists, speech therapists, psychologists, neuroscientists, psychiatrists and neurologists is crucial for continuing to foster understanding and allowing patients to be approached more appropriately [63-65].

### *Future direction*

Gene therapy, as an efficient treatment for ASD, seems to hold promise. The first preclinical studies in monogenic ASD have recently been published, involving both gene silencing and replacement. This study can be applied to polygenic ASD with a better understanding of ASD etiology [66]. Interestingly, converging

lines of evidence from genetically modified mice, especially models of monogenic disorders and disease-causing copy number variants, such as Rett syndrome (RTT) [67], Tuberous Sclerosis Complex (TSC) [68], Phelan-McDermid syndrome (PMDS) [69], support the hypothesis that ASD has common underlying pathophysiology involving changes in cellular properties that lead to abnormal neural network activity and behavioural deficits [31]. The use of genome editing is another potential revolutionary therapy. We hope that the strategies of Clustered Regularly Interspaced Short Palindromic Repeats-gene (CRISPR-gene) editing will soon be used more and more in ASD models to alleviate neurodevelopment issues and recapitulate normal physiology conditions. Recent research, for example, used CRISPR-Gold, a novel method for delivery of nanoparticles, to target striatal mGluR5 levels in Fragile X syndrome (FXS) mice. Besides effective mGluR5 levels reduction, the study found that repetitive behaviours in FXS mice were rescued subsequently [70].

### **Conclusion**

In conclusion, ASD is common among children. Therefore, screening for ASD in all young children should be practised universally. The current article analyzed the significant tools available in the diagnosis and management of ASD. The study also provides the future direction for ASD diagnosis and management, which will help clinicians decide the best therapeutic practices.

### **Disclosure of conflict of interest**

None.

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